

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re: Fairfield Sentry Limited

Bankruptcy Case No.:
10-13164-smb

Fairfield Sentry Limited (In Liquidation)

Fairfield Sigma Limited (In Liquidation)

Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof

Plaintiff(s),

—against—

Adversary Proceeding No.
10-03635-smb

Union Bancaire Privee, UBP SA

Adler and Co.

Privatbank AG

Allianzbank SPA/Unifortune Conservative Side Pocket

Alternative Investment Strategies

Arsenal SPC

Arsenal SPC OBO Glasgow SEG Port

Banca Arner SA

Banca Unione di Credito

Bank Hapoalim Switzerland Ltd.

Bank Julius Baer & Co. Ltd.

Bank Sarasin & Cie

Banque Cantonale Vaudoise

Banque Cramer & Cie SA

Barclay's Bank PLC Singapore Wealth

BBH LUX Guardian II

BBVA (Suisse) SA

BCV AMC Defensive Al Fund

BNP Paribas (Suisse) SA

BNP Paribas (Suisse) SA Ex Fortis

BNP Paribas (Suisse) SA Private

BSI AG

BSI Ex Banca Del Gottardo

Caceis Bank Luxembourg

CBB (BVI)/The Alkima Found

CBT Gems Low Vol Reg

Compagnie Bancaire Helvetique

Centrum Bank AG (AMS)

Fidulex Management Inc.

Clariden Leu Ltd.

Corner Banca SA

Credit Suisse AG Zurich

Dexia Banque International a Luxembourg

Dresdner Bank Schweiz

EFG Bank SA Switzerland

EFG Eurofinancier D'Invest MCL

Endurance Absolute Ltd. Master

Fairfield Investment GCI

Fairfield Investment Fund Ltd.

Fairfield Masters Ltd.

Falcon Private Bank

FIF Advanced Ltd.

Finter Bank Zuirich

Harmony Capital Fund Ltd.

Ihag Handelsbank AG

Incore Bank AG

Karla Multistrategies Ltd.

KBC Investments Ltd.

LGT Bank in Liechtenstein AG

Liechtensteinische LB Reinvest AMS

Lloyds TSB Bank Geneva
Lombard Odier Darier Hentsch & Cie
Longboat Ltd.
Master Capital and Hedge Fund
NBK Banque Privee Suisse SA
Pictet & Cie
PKB Privatebank AG
Private Space Ltd.
Quasar Funs SPC
RBC Dexia Investor Service Julius Baer Sicav
RBS Coutts Bank Ltd.
Richourt AAA Multistrategies
Rothschold Bank AG Zurich (Dublin)
Rothschild Bank Geneva (Dublin)
Rothschild Lugano Dublin
Sella Bank AG
SIS Seeganinter settle
Six SIS Ltd.
Societe Generale Bank & Trust
Soundview Fund
Swisscanto FD Centre Clients A/C
T1 Global Fund Ltd.
UBS AG New York
UBS AG Zurich
UBS Jersey Nominees
Verwaltungs und Privat-Bank AG Aktiengesellschaft (AMS)
Vorarlberger Landes Und Hypothekenbank Ankiengesellschaft and Beneficial Owners
of the Accounts held in the name of CGC (NA) 1-1000
Verwaltungs- und Privat-Bank AG Aktiengesellschaft
Rothschild Lugano Dublin a/k/a Banca Privata Edmond de Rothschild Lugano S.A.
Rothschild Bank AG Zurich (Dublin) a/k/a Rothschild Bank AG
Quasar Funds SPC a/k/a Quasar Fund SPC Class A and Class B CGCNV
National Bank of Kuwait
Karasel Enhanced Portfolio
Barclays Bank PLC Singapore Wealth a/k/a Barclays Bank, PLC
Adler and Co Privatbank AG
ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland) AG
EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG
Eurofinancier DInvest MCL.
EFG Bank AG a/k/a EFG Bank SA Switzerland
EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL
Credit Suisse AG
HSBC
HSBC
Geneva Absolute Return Fund
EFG Bank AG and EFG Bank (Monaco)
Remand Defendants
Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to
Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to
Dismiss)
Unifortune Conservative Side Pocket
Liechtensteinsche Landesbank AG
the Defendants listed on Exhibit A to the HSBC Defendants' Supplemental
Memorandum of Law

Defendant(s)

ENTRY OF DEFAULT

It appears from the record that the following defendant failed to plead or otherwise defend in this case as required by law.

Name:	CBT GEMS LOW VOL REG
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Therefore, default is entered against the defendant as authorized by Bankruptcy Rule 7055.

Dated: 10/11/17

Vito Genna

Clerk of the Court

By: /s/ Dawn McCaffrey

Deputy Clerk

Certificate of Notice Pg 4 of 7
 United States Bankruptcy Court
 Southern District of New York

Fairfield Sentry Limited (In Liquidatio,
 Plaintiff

Adv. Proc. No. 10-03635-smb

Union Bancaire Privee, UBP SA,
 Defendant

CERTIFICATE OF NOTICE

District/off: 0208-1

User: dmccaffre
 Form ID: clkdflt

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 Total Noticed: 20

Date Rcvd: Oct 11, 2017

Notice by first class mail was sent to the following persons/entities by the Bankruptcy Noticing Center on Oct 13, 2017.

aty +James L. Garrity, Jr., Morgan, Lewis & Bockius LLP, 101 Park Avenue,
 New York, NY 10178-0060
 aty +Michael E. Wiles, Debevoise & Plimpton LLP, 919 3rd Avenue, New York, NY 10022-3916
 aty +Sachin S. Bansal, McKool Smith, 1 Bryant Park, 47th Floor, New York, NY 10036-6729
 dft ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland) A, Registered address, Beethovenstrasse 33,
 CH-8002, Zurich, SWITZERLAND
 dft Adler and Co Privatbank AG, Registered address, Claridenstrasse 22, CH-8022, Zurich,
 SWITZERLAND
 dft Alternative Investment Strategies, c/o Citco Bank Nederland NV, Telestone 8 - Teleport,
 Narritaweg 165, 1043BW Amsterdam, The Netherlands
 dft Banca Unione di Credito, c/o Citco Global Custody NV, c/o Citco Bank Nederland NV,
 TELESTONE 8 - TELEPORT, NARRITAWEG 165, 1043BW AMSTERDAM, THE NETHERLANDS
 dft Banque Cramer & Cie SA, 22 Avenue de Miremont, 1206 Geneve, Switzerland
 dft Barclays Bank PLC Singapore Wealth a/k/a Barclays, Registered Address,
 Level 28 One Raffles Quay, South Tower, Singapore 048583, SINGAPORE
 dft CBT Gems Low Vol Reg, One Montague Place 1st Floor, East Bay Street, P.O. Box N-1906,
 Nassau, Bahamas
 dft Dexia Banque International a Luxembourg, c/o Citco Bank Nederland NV,
 Telestone 8 - Teleport, Narritaweg 165, 1043 BW Amsterdam, The Netherlands
 dft HSBC, 8 Canary Square, Canary Wharf, London, UNITED KINGDOM
 dft Karasel Enhanced Portfolio, c/o Citco Global Custody N.V.,
 c/o Citco Data Processing Services Ltd., 2600 Cork Airport Business Park, Kinsale Road,
 Cork, Ireland
 dft Longboat Ltd., c/o Citco Bank Nederland NV, Telestone 8 - Teleport, Narritaweg 165,
 1043BW Amsterdam, The Netherlands
 dft Master Capital and Hedge Fund, c/o Citco Data Processing Services Ltd.,
 2600 Cork Airport Business Park, Kinsale Road, Cork, Ireland
 dft National Bank of Kuwait, P.O. Box 95 Safat, 13001 Kuwait, Abdullah Al Ahmad Street,
 Sharq, STATE OF KUWAIT
 dft Quasar Funds SPC a/k/a Quasar Fund SPC Class A and, Registered Address,
 c/o Citco Fund Services Europe BV, Narritaweg 165, 1043 BW Amsterdam, THE NETHERLANDS
 dft Rothschild Bank AG Zurich (Dublin) a/k/a Rothschild, Registered Address, Zollikerstrasse 181,
 CH-8034, Zurich, SWITZERLAND
 dft Rothschild Lugano Dublin a/k/a Banca Privata Edmon, Registered Address, Via Ginevra 2,
 CH-6900, Lugano, SWITZERLAND
 dft Swisscanto FD Centre Clients A/C, c/o Citco Data Processing Services Ltd.,
 2600 Cork Airport Business Park, Kinsale Road, Cork, Ireland

Notice by electronic transmission was sent to the following persons/entities by the Bankruptcy Noticing Center.
 NONE.

TOTAL: 0

***** BYPASSED RECIPIENTS (undeliverable, * duplicate) *****

intp ABN AMRO Bank N.V. f/k/a Fortis Bank (Nederland) N
 intp ABN AMRO Fund Services (Isle of Man) Nominees Limi
 intp ABN AMRO Fund Services Bank (Cayman) Ltd. f/k/a Fo
 intp ABN AMRO Global Custody
 intp ABN AMRO Global Custody Services N.V. f/k/a Fortis
 Adler and Co.
 dft Allianzbank SPA/Unifortune Conservative Side Pocke
 dft Arsenal SPC
 dft Arsenal SPC OBO Glasgow SEG Port
 dft BBH LUX Guardian II
 dft BBVA (Suisse) SA
 dft BCV AMC Defensive Al Fund
 dft BNP Paribas (Suisse) SA
 dft BNP Paribas (Suisse) SA Ex Fortis
 dft BNP Paribas (Suisse) SA Private
 dft BSI AG
 dft BSI Ex Banca Del Gottardo
 dft Banca Arner SA
 unk Banco Santander (Suisse) SA
 dft Bank Hapoalim Switzerland Ltd.
 dft Bank Julius Baer & Co. Ltd.
 dft Bank Sarasin & Cie
 dft Banque Cantonale Vaudoise
 dft Barclay's Bank PLC Singapore Wealth
 dft CBB (BVI)/The Alkima Found
 dft Caceis Bank Luxembourg
 dft Centrum Bank AG (AMS)
 dft Clariden Leu Ltd.
 unk Clerk's Office of the U.S. Bankruptcy Court, S.D.N
 Compagnie Bancaire Helvetique

District/off: 0208-1

User: dmccaffre
Form ID: clkdflt

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Total Noticed: 20

Date Rcvd: Oct 11, 2017

***** BYPASSED RECIPIENTS (continued) *****

dft Corner Banca SA
dft Credit Suisse AG
dft Credit Suisse AG Zurich
dft Defendants (as set forth in Appendix A to the Memo
dft Dresdner Bank Schweiz
dft EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest
dft EFG Bank AG a/k/a EFG Bank SA Switzerland
dft EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG
dft EFG Bank AG and EFG Bank (Monaco)
dft EFG Bank SA Switzerland
dft EFG Eurofinancier D'Invest MCL
dft Endurance Absolute Ltd. Master
dft FIF Advanced Ltd.
dft Fairfield Investment Fund Ltd.
dft Fairfield Investment GCI
dft Fairfield Masters Ltd.
pla Fairfield Sentry Limited (In Liquidation)
pla Fairfield Sigma Limited (In Liquidation)
dft Falcon Private Bank
dft Fidulex Management Inc.
dft Finter Bank Zuirich
dft Geneva Absolute Return Fund
dft HSBC
dft Harmony Capital Fund Ltd.
dft Ihag Handelsbank AG
dft Incore Bank AG
unk Joanna Lau
dft KBC Investments Ltd.
dft Karla Multistrategies Ltd.
unk Kenneth Krys
pla Kenneth Krys, solely in his capacity as Foreign Re
dft LGT Bank in Liechtenstein AG
dft Liechtensteinische LB Reinvest AMS
dft Liechtensteinsche Landesbank AG
dft Lloyds TSB Bank Geneva
dft Lombard Odier Darier Hentsch & Cie
dft NBK Banque Privee Suisse SA
dft PKB Privatebank AG
dft Pictet & Cie
dft Privatbank AG
dft Private Space Ltd.
dft Quasar Funs SPC
dft RBC Dexia Investor Service Julius Baer Sicav
dft RBS Coutts Bank Ltd.
dft Remand Defendants
dft Richourt AAA Multistrategies
dft Rothschild Bank Geneva (Dublin)
dft Rothschild Lugano Dublin
dft Rothschild Bank AG Zurich (Dublin)
dft SIS Seeganintersettle
dft Sella Bank AG
dft Six SIS Ltd.
dft Societe Generale Bank & Trust
dft Soundview Fund
dft T1 Global Fund Ltd.
dft UBS AG New York
dft UBS AG Zurich
dft UBS Jersey Nominees
dft Unifortune Conservative Side Pocket
dft Union Bancaire Privee, UBP SA
dft Verwaltungs und Privat-Bank AG Aktiengesellschaft
dft Verwaltungs- und Privat-Bank AG Aktiengesellschaft
dft Vorarlberger Landes Und Hypothekenbank Ankiengesel
the Defendants listed on Exhibit A to the HSBC Def

TOTALS: 94, * 0, ## 0

Addresses marked '' were corrected by inserting the ZIP or replacing an incorrect ZIP.
USPS regulations require that automation-compatible mail display the correct ZIP.

Transmission times for electronic delivery are Eastern Time zone.

District/off: 0208-1 User: dmccaffre Page 3 of 4 Date Rcvd: Oct 11, 2017
Form ID: clkdflt Total Noticed: 20

***** BYPASSED RECIPIENTS (continued) *****

I, Joseph Speetjens, declare under the penalty of perjury that I have sent the attached document to the above listed entities in the manner shown, and prepared the Certificate of Notice and that it is true and correct to the best of my information and belief.

Meeting of Creditor Notices only (Official Form 309): Pursuant to Fed. R. Bank. P. 2002(a)(1), a notice containing the complete Social Security Number (SSN) of the debtor(s) was furnished to all parties listed. This official court copy contains the redacted SSN as required by the bankruptcy rules and the Judiciary's privacy policies.

Date: Oct 13, 2017

Signature: /s/Joseph Speetjens

CM/ECF NOTICE OF ELECTRONIC FILING

The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system on October 11, 2017 at the address(es) listed below:

Alexander Lees on behalf of Defendant Dresdner Bank Schweiz alees@milbank.com
Andreas A. Frischknecht on behalf of Defendant SIS Seeganintersettle
andreas.frischknecht@chaffetzlindsey.com, dockets@chaffetzlindsey.com,
t.cohen@chaffetzlindsey.com
Andrew John Finn on behalf of Defendant Bank Sarasin & Cie finna@sullcrom.com,
s&cmanagingclerk@sullcrom.com;andrew-finn-6914@ecf.pacerpro.com
Anthony L. Paccione on behalf of Defendant BBH LUX Guardian II anthony.paccione@kattenlaw.com,
mark.ciani@kattenlaw.com
Anthony L. Paccione on behalf of Defendant RBC Dexia Investor Service Julius Baer Sicav
anthony.paccione@kattenlaw.com, mark.ciani@kattenlaw.com
Ari MacKinnon on behalf of Defendant BNP Paribas (Suisse) SA amackinnon@cgsh.com,
maofiling@cgsh.com
Breon Peace on behalf of Defendant BNP Paribas (Suisse) SA bpeace@cgsh.com,
maofiling@cgsh.com
Charles Collier Platt on behalf of Defendant BSI AG Charles.Platt@wilmerhale.com
Christopher Harris on behalf of Defendant ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland)
AG Christopher.harris@lw.com
Christopher A. Lynch on behalf of Defendant T1 Global Fund Ltd. clynch@reedsmith.com
Christopher R. Donoho, III on behalf of Defendant Barclay's Bank PLC Singapore Wealth
chris.donoho@hoganlovells.com, ronald.cappiello@hoganlovells.com
Daniel Shamah on behalf of Defendant Clariden Leu Ltd. dshamah@omm.com,
cmartin@omm.com;lillianlee@omm.com
David Farrington Yates on behalf of Defendant EFG Bank (Monaco) f/k/a EFG Eurofinancier
DInvest MCL farrington.yates@kobrekim.com
David M. Morris on behalf of Defendant Centrum Bank AG (AMS) david.morris@friedfrank.com,
managingattorneysdepartment@friedfrank.com
Dorothy Heyl on behalf of Defendant Dresdner Bank Schweiz dheyel@milbank.com
Elizabeth Vicens on behalf of Defendant Caceis Bank Luxembourg evicens@cgsh.com,
maofiling@cgsh.com
Elizabeth A O'Connor on behalf of Defendant BCV AMC Defensive Al Fund eoconnor@fzwz.com
Eric Fishman on behalf of Defendant Falcon Private Bank efishman@pillsburywinthrop.com,
nydocket@pillsburylaw.com
Erin E Valentine on behalf of Defendant SIS Seeganintersettle
erin.valentine@chaffetzlindsey.com, dockets@chaffetzlindsey.com
Evan A. Davis on behalf of Defendant BNP Paribas (Suisse) SA edavis@cgsh.com,
maofiling@cgsh.com;njedrey@cgsh.com
Frederick R. Kessler on behalf of Defendant FIF Advanced Ltd. fkessler@wmd-law.com
George W. Shuster, Jr. on behalf of Defendant BSI AG george.shuster@wilmerhale.com
Heather Lambreg Kafele on behalf of Defendant BBVA (Suisse) SA hkafele@winston.com,
jpjohnson@winston.com;kpalfin@winston.com
Herbert M. Wachtell on behalf of Defendant Fidulex Management Inc. hmwachtell@wlrk.com,
calert@wlrk.com
Jascha D. Preuss on behalf of Defendant Liechtensteinische LB Reinvest AMS
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Jeffrey T. Scott on behalf of Defendant Bank Sarasin & Cie scottj@sullcrom.com,
s&cmanagingclerk@sullcrom.com;jeffrey-scott-6254@ecf.pacerpro.com
John A. Borek on behalf of Defendant Verwaltungs- und Privat-Bank AG Aktiengesellschaft
john.borek@friedfrank.com, ManagingAttorneysDepartment@friedfrank.com
John F. Zulack on behalf of Defendant BCV AMC Defensive Al Fund jzulack@fzwz.com
Jonathan D. Cogan on behalf of Defendant Allianzbank SPA/Unifortune Conservative Side Pocket
jonathan.cogan@kobrekim.com
Justin Kattan on behalf of Defendant EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL
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Keith R. Palfin on behalf of Defendant BBVA (Suisse) SA keith.palfin@winston.com
Kimberly Perrotta Cole on behalf of Defendant Allianzbank SPA/Unifortune Conservative Side
Pocket kimberly.cole@kobrekim.com
Lawrence B. Friedman on behalf of Defendant Caceis Bank Luxembourg lfriedman@cgsh.com,
maofiling@cgsh.com
Marshall R. King on behalf of Defendant UBS AG New York mking@gibsondunn.com

District/off: 0208-1 User: dmccaffre Page 4 of 4 Date Rcvd: Oct 11, 2017
Form ID: clkdflt Total Noticed: 20

The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

Martin B. Klotz on behalf of Defendant Arsenal SPC maosbny@willkie.com, mklotz@willkie.com
Megan P. Davis on behalf of Defendant Banque Cantonale Vaudoise mdavis@fzwz.com
Polina Bensman on behalf of Defendant Caceis Bank Luxembourg lbensman@cgsh.com
Rachel Ehrlich Albanese on behalf of Defendant Banca Arner SA rachel.albanese@dlapiper.com, adam.lanza@dlapiper.com, rachel-albanese-9956@ecf.pacerpro.com
Reid Ashinoff on behalf of Defendant EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL reid.ashinoff@dentons.com, docketny@dentons.com
Richard A. Cirillo on behalf of Defendant NBK Banque Privee Suisse SA rcirillo@kslaw.com, jcmccullough@kslaw.com
Richard B. Levin on behalf of Defendant Unifortune Conservative Side Pocket rlevin@jenner.com
Samuel Cavior on behalf of Defendant Falcon Private Bank samuel.cavior@pillsburylaw.com
Scott S Balber on behalf of Defendant Bank Hapoalim Switzerland Ltd. scott.balber@hsf.com, wale.bakare@hsf.com
Sean G. Arthurs on behalf of Defendant BBVA (Suisse) SA sean.arthurs@shearman.com
Stephen M. Harnik on behalf of Defendant Vorarlberger Landes Und Hypothekenbank Ankiengesellscharft and Beneficial Owners of the Accounts held in the name of CGC (NA) 1-1000 stephen@harnik.com
Tania G. Cohen on behalf of Defendant SIS Seeganintersettle tania.cohen@chaffetzlindsey.com, dockets@chaffetzlindsey.com
Thomas Carter White on behalf of Defendant EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL carter.white@dentons.com, docketny@dentons.com
Thomas J. Giblin on behalf of Defendant ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland) AG thomas.giblin@lw.com
Virginia I. Weber on behalf of Defendant Bank Julius Baer & Co. Ltd. vweber@mckoolsmith.com
William J. Sushon on behalf of Defendant Credit Suisse AG Zurich wsushon@omm.com
William J. Sushon on behalf of Defendant Clariden Leu Ltd. wsushon@omm.com
Yasmine Lah lou on behalf of Defendant Six SIS Ltd. yasmine.lahlou@chaffetzlindsey.com, dockets@chaffetzlindsey.com

TOTAL: 52